

# Form 604

Corporations Act 2001  
Section 671B

## Notice of change of interests of substantial holder

To Company Name/Scheme Etherstack plc

ACN/ARSN ARBN 156 640 532

### 1. Details of substantial holder(1)

Name Peter Stephens

ACN/ARSN (if applicable) \_\_\_\_\_

There was a change in the interests of the substantial holder on 24 /12 /2020

The previous notice was given to the company on 1/7/2020

The previous notice was dated 1/7/2020

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
CDIs over fully paid ordinary shares	17,322,587	14.42%	17,382,587	13.37%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
26/8/2020	Peter Stephens	On Market Purchase	\$43,835	60,000 CDIs over fully paid ordinary shares	60,000
24/12/2020	Peter Stephens	No change to the number of shares held however dilution of ownership percentage arising from issue of shares	Not applicable	17,382,587 CDIs over fully paid ordinary shares	17,382,587

### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
Peter Stephens	HSBC Nominees	Peter Stephens	Indirect ownership	16,092,264 CDIs over fully paid ordinary shares	16,092,264
Peter Stephens	Peter Stephens	Peter Stephens	Direct ownership	1,290,323 CDIs over fully paid ordinary shares	1,290,323

**5. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

**6. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Peter Stephens	The Manor House, Ropsley Road, Old Somerby Grantham, Lincs NG33 4AF, GBR

**Signature**

print name Mr Peter Stephens

sign here



date 24 / 12 / 2020